



## Disclaimers & Client Responsibilities

The following are disclaimers and responsibilities pertaining to accounts of QuantRiver Financial. This information is provided for QuantRiver Financial account holders, account applicants and visitors to our website as important information regarding their account(s), but should not be considered complete or all encompassing. Please review your account agreement for more in-depth information or contact [Customer Support](#) if you have additional questions.

- The approval of a client account(s) and the acceptance of client orders are at the sole discretion QuantRiver Financial, LLC.
- QuantRiver Financial's website is for informational purposes only and should not be construed as a solicitation to buy or sell securities or securities derivative products of any kind, nor be considered as any type of investment advice or strategies.
- All transactions/trades are subject to the rules, regulations, customs and usages of the exchange and market where executed, and to all applicable federal, state, and SRO rules and regulations.
- Client option orders in the same underlying and strike price will be delayed 15 seconds before routing due to exchange order routing rules.
- Cancellation requests of open orders are subject to prior executions. Market orders may not be cancelled.
- Information provided from service providers is deemed to be reliable. QuantRiver Financial does not warrant or guarantee the accuracy or completeness of the information with regard to the results to be obtained from its use.
- QuantRiver does not warrant or guarantee the dependability of the internet. Access to services may be limited during periods of peak internet activity or market volatility.
- The ability of a client to place a short sale transaction may be limited from time to time by the ability to borrow the desired equity. Margin and maintenance margin requirements may be changed without advanced notice to reflect appropriate market volatility.
- QuantRiver Financial, in its sole discretion and without advance notice, may deem it appropriate to liquidate or enter into offsetting or closing transactions in a client account if your account does not meet QuantRiver Financial or Exchange capital requirements.
- It is the client's responsibility to monitor all open orders and exercisable option positions.
- The client is solely responsible for the confidentiality of his/her password and username. Any orders entered using the username and password shall be deemed as sent by you the client.
- The trading of Options is not suitable for all clients. Please review the [Characteristics and Risks of Standardized Options](#) that may be accessed via the following link: [www.optionsclearing.com/publications/risks/riskchap1.jsp](http://www.optionsclearing.com/publications/risks/riskchap1.jsp).
- Review your account statement and information regularly and notify QuantRiver Financial immediately regarding any discrepancies or questions.
- Keep account information including usernames, passwords, statements, and confirmations safe.